CULTURAL COMPETENCY ASSESSMENT SCALE WITH INSTRUCTIONS

OUTPATIENT SERVICE DELIVERY AGENCY LEVEL

JUNE 2004

Carole Siegel, Gary Haugland and Ethel Davis Chambers

Nathan S. Kline Institute for Psychiatric Research
Center for the Study of Issues in Public Mental Health
Orangeburg, NY 10962

No part of this document may be reproduced or transmitted in any form, or by any means, electronic or mechanical, including photocopying, or by any information storage or retrieval system, without permission in writing from Dr. Siegel or Mr. Haugland.
I. PREFACE
The scale is applicable to an agency delivering behavioral health care in an outpatient treatment environment. Implementation of Cultural Competency (CC) by the agency is expected to promote CC in all its staff members and to create a milieu that acts to improve access and retention in treatment of persons from diverse cultural groups. An agency may be independent or, in this day of mergers and consolidations, closely tied to a Parent Organization (PO), which may in fact be responsible for many of the queried activities. In such cases, the scale is measuring the activities at both levels of the organization and assumes there are in place effective channels of communication so that each agency has access to the same information and has the same opportunity for the cultures in its service population to be represented in any committees and reflected across staff types at the agency.

The scale is pro-active in the sense that it is intended to suggest ways in which an agency can become culturally competent. It can be used as an organizational self-assessment scale. CC is linked to evidence-based practices (EBP) under the premise that the level of CC of an agency impacts its ability to appropriately adapt and implement an EBP. Organizations that have made accommodations to meet the needs of the cultural groups within its target and user community may find it easier to understand which facets of an EBP need special attention when it is implemented. The effectiveness of an EBP should also be measured with respect to culture-specific outcomes.

II. BASIC DEFINITIONS

Cultural Competence (CC)
The attribute of a behavioral health care organization that describes the set of congruent behaviors, attitudes, skills, policies and procedures that enable its caregivers to work effectively and efficiently in cross/multi-cultural situations at all of its organizational levels.

Cultural group
A subgroup that is from the major racial ethnic groups of African American, Hispanic, Asian American/Pacific Islander, American Indian/Alaskan Native or from a recent immigrant or refugee population. Subgroups can be identified by distinct languages (e.g., Mandarin-speaking Chinese among Asian Americans), or locales of origin (e.g., Dominicans among Hispanics);

OR
A subgroup that is identified by the agency as requiring special attention since features of its “culture” limit the ability of its members to appropriately access or participate in mainstream service delivery systems. Such subgroups might include, but are not limited to, gay and lesbian communities, people with hearing impairments, rural and “mountain folk,” migratory workers, etc.

Target community
The population the agency designates as its intention to serve. This can cover a population area (such as a geographically or politically defined service area) or a specifically targeted population (such as persons needing a specific type of intervention, persons in a certain age group, persons speaking a specific language). If the target population is geographically dispersed, the county in which the agency resides is used to represent the target community, (although, it is recognized that some potential service users may not reside in the county).

III. WHO SHOULD COMPLETE SCALE
A person knowledgeable in activities related to quality of care at the agency should complete the form, e.g., in larger agencies, a senior level person in the Quality Assurance Department and in smaller agencies, a knowledgeable senior administrator, e.g., the director. If the agency is a subsidiary of a Parent Organization (PO), this version of the scale is intended to be administered at the agency level. The PO should use the administrative level version of this scale. Some assessments however can be made based on activities conducted by the PO, provided the agency has directly benefited from these activities, e.g., forms translated by the PO are provided to the agency.

IV. INSTRUCTIONS FOR EACH CRITERION SCALE ITEM

General format of instructions for each criterion

Rationale
An explanation of why an item was chosen

Definitions
Definitions are given for terms in a scale item that are not self-evident. The same terms may appear in other items, but definitions are given only the first time the term appears.

Sources of Information
Suggestions are listed for sources of information for scoring the item.

Scoring Instructions
Special instructions for service delivery agencies having only one cultural group among their service users.

Criterion assessment procedure:
Each criterion is assessed according to five levels of achievement. Score the item by the rank of the highest level achieved. A score of 1 indicates no activity on that criterion; a score of 5 indicates the benchmark standard. The scale is most effective when an agency has regularly updated information about the cultural groups of its service users.
CRITERION 1.

AGENCY’S COMMITMENT TO CULTURAL COMPETENCE

Agency (or its parent organization (PO)) has a management level person responsible for CC and:

- A dedicated budget for CC activities
- A CC plan
- Procedures for updating the CC plan

<table>
<thead>
<tr>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
</tr>
</thead>
<tbody>
<tr>
<td>Agency (or PO) has not yet made cultural competence part of its mission</td>
<td>Agency (or PO) has made accountability for CC part of at least one management level person’s activities</td>
<td>In addition to (2), agency (or PO) has only one of the following: dedicated budget for CC activities; a written CC plan with objectives, strategies, and implementation timetable</td>
<td>Agency (or PO) has both a dedicated budget and a written CC plan with objectives, strategies, and implementation timetable</td>
<td>In addition to (4), agency (or PO) requires periodic review and updates of its written CC plan</td>
</tr>
</tbody>
</table>

Rationale:

A management level person who has primary responsibility for CC within the structure of the organization ensures that CC will be addressed. Without a dedicated budget for CC, only limited activities can be conducted. A written plan concretizes the agency’s commitment to CC. Review and updating ensures that the feedback loop has been closed and that corrective actions have been taken, as well as ensures responsiveness to changing characteristics of the target population.

Definitions:

Cultural Competence (CC): The attribute of a behavioral health care organization that describes the set of congruent behaviors, attitudes, skills, policies and procedures that enable its caregivers to work effectively and efficiently in cross/multi-cultural situations at all of its organizational levels.

Parent Organization: Centralized authority that encompasses multiple service provider agencies and assumes many administrative functions on behalf of the network of member organizations.

Accountability for CC: Responsibility for documenting how CC is part of the agency’s activities.

Management level person: An agency person who can effectuate change either by the authority given to the position they hold by the agency director or executive board or who has direct line communication with agency decision makers.

Dedicated budget: Funds needed for conducting CC activities are available, although not necessarily explicitly identified as a budget line item.

Objectives: Statements of what is to be achieved with respect to CC.

Strategies: Specific steps for achieving the named objectives.

Implementation timetable: When steps are to be implemented and completed.

Periodic review and updates: A requirement stating how often the plan is to be reviewed and updated.

Sources of Information:

Job titles, job descriptions and organizational chart;
Query CC designee or obtain information from an identified management level person. Copy of the written plan. It might be contained in agency plan or Quality Assurance/Quality Improvement (QA/QI) plan, but it should be distinctly identifiable;
Review copy of the written plan. It might be contained in agency plan or Quality Assurance/Quality Improvement (QA/QI) plan, but it should be distinctly identifiable.
CULTURAL COMPETENCY ASSESSMENT SCALE

CRITERION 2.
ASSESSMENT OF SERVICE NEEDS
Agency obtains current data on its service users and its target community that enable identification of their cultures and language needs

<p>| | | | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Agency does not obtain current data on its service users nor on its target community that would enable identification of cultures or languages needs</td>
<td>2</td>
<td>Agency obtains current data on its service users that allows their cultures and their language needs to be identified</td>
<td>3</td>
</tr>
</tbody>
</table>

Rationale: Particular data items need to be collected for all clients in a consistent manner so that they can be aggregated to assess the cultures and language needs of the population being served by the agency.

Information on the target community allows the agency to tailor its outreach and services to the needs of its cultural groups.

Definitions:

**Target community:** The population the agency designates as its intention to serve. This can cover a geographic area or a specifically targeted population. In the latter case, if the target population is geographically dispersed, the county in which the agency resides is used to represent the target community, (although, it is recognized that some potential service users may not reside in the county).

**Obtains current data:** agency either collects its own data, or receives data from its parent organization, in a regular and timely manner

**Service users:** Persons actively enrolled and actually receiving services in any given year

**Data to identify cultures:** In addition to race and ethnicity, this could include religion, country of origin, educational attainment, and employment status

**Data to identify language needs:** At a minimum this should be the preferred language but can also include place of birth and level of English proficiency

**Prevalent cultural group of service users:** A cultural group that annually accounts for 5% or more of service users of an agency.

**Language needs:** special accommodation such as interpreters and translated material to ensure that the person’s civil rights are being respected and clear recognition of culture-specific meanings attributed to terms describing mental illness.

**Prevalent cultural groups of target community:** Use the following as a guideline for selecting cultural groups with the greatest representation in the target community: a cultural group that accounts for 5% or more of the population of a target community, or if less than 5% then contains at least 1000 individuals.

Sources of Information:

These data should either be routinely collected during intake, admission or clinical/social assessment for all individuals served by the agency. Look at MIS data elements if agency has an MIS or check intake forms. CC management level person may obtain these data from the parent organization, from census data or surveys from universities or local businesses, or develop estimates from information given by key informants from the community.
WORKSHEET FOR CRITERION 2:
Cultural Groups of Service Users/Language Needs of Service Users and Cultures of Target Community

<table>
<thead>
<tr>
<th>Name of Cultural Group</th>
<th>Country(ies) of Origin</th>
<th>Estimated percent of service users</th>
<th>Estimated language needs*</th>
<th>Estimated percent of target community</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

* Proportion of cultural group requiring English language assistance

EXAMPLE

<table>
<thead>
<tr>
<th>Hispanic</th>
<th>Dominican Republic</th>
<th>7%</th>
<th>25%</th>
<th>15%</th>
<th>Includes large # of undocumented immigrants</th>
</tr>
</thead>
<tbody>
<tr>
<td>Spanish</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
CULTURAL COMPETENCY ASSESSMENT SCALE

CRITERION 3.
CULTURAL INPUT INTO AGENCY ACTIVITIES

Agency (or its PO) has a CC Committee or other group that addresses cultural issues and has participation from cultural groups of the target community

<table>
<thead>
<tr>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
</tr>
</thead>
<tbody>
<tr>
<td>Agency (or PO) does not have a CC Committee or other group that addresses cultural issues</td>
<td>Agency (or PO) does not have a CC Committee but addresses CC issues in other of its committees, boards or advisory groups</td>
<td>Agency (or PO) has established a free-standing CC Committee</td>
<td>The CC Committee includes two representatives from the most prevalent cultural group of the target community who attend at least 50% of yearly meetings</td>
<td>In addition to (4), the CC Committee includes at least one representative from the 2nd most prevalent cultural group of the target community who attends at least 50% of yearly meetings</td>
</tr>
</tbody>
</table>

Rationale: Cultural input into agency activities is expected to come from a CC Committee. A committee dedicated to CC will enhance the likelihood that activities appropriate to the culture are introduced and carried out. The committee can go under many names (Examples: Multicultural Committee, Diversity Committee, Planning Committee, Consumer Advisory Board) and members may not be individually identified. CC input may be obtained as part of the functions of existing boards, advisory groups and committees. Input is sought from representatives of the most prevalent cultural group of the target community. These may be agency staff, consumers, family members or community leaders. Having more than one representative from a cultural group makes active participation more likely. While these representatives may not be official members or even the same individuals at each meeting, there must be 2 from the most prevalent culture at half the meetings held in a year. Input from additional cultural groups is desirable, and recognizing difficulties in soliciting committee members, one representative is sought to begin the process.

Definition: **Free-standing CC Committee**: A committee that is not a subcommittee or ad-hoc committee but has its own mission and membership, meets regularly and is dedicated to addressing culture-related issues

Sources of Information:
- Organizational chart, minutes of meetings
- Membership rosters, attendance records, minutes of meetings

Scoring Instructions:
- If there is only one cultural group among service users, highest score will be 4.
- If score is “1,” score Criterion 4 as “0” and skip to Criterion 5.
CRITERION 4.
INTEGRATION OF CC COMMITTEE OR OTHER GROUP WITH RESPONSIBILITY FOR CC WITHIN AGENCY

CC Committee or other group with responsibility for CC is integrated within agency evidenced by the following activities:

- Reviews services/programs with respect to CC issues at the agency
- Reports to Quality Assurance/Quality Improvement program of the agency / PO
- Participates in planning and implementation of services at the agency
- Directly transmits recommendations to executive level of agency / PO

<table>
<thead>
<tr>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
</tr>
</thead>
<tbody>
<tr>
<td>CC Committee or other group performs no activities of integration</td>
<td>CC Committee or other group performs 1 of the 4 activities of integration</td>
<td>CC Committee or other group performs 2 of the 4 activities of integration</td>
<td>CC Committee or other group performs 3 of the 4 activities of integration</td>
<td>CC Committee or other group performs all 4 of the activities of integration</td>
</tr>
</tbody>
</table>

Rationale: The extent to which the functions of the CC Committee are reported and used in the agency provides a measure of the likelihood of change with respect to CC. In this criterion, 4 key committee functions are expected to take place, but they may be introduced at different stages in the agency’s implementation of cultural competence. These functions are service planning and implementation, services review, quality assurance and recommendations reaching the highest level of leadership.

Definition: Executive Level: The highest level of leadership of an organization as for example the Chief Executive Officer or Clinical Director.

Sources of Information:
Organizational bylaws, minutes of meetings, annual reports of QA/QI department
CULTURAL COMPETENCY ASSESSMENT SCALE

CRITERION 5. CC STAFF: TRAINING ACTIVITIES
Agency (or PO) offers to staff educational activities in which cultural issues are addressed and requires staff to have an adequate amount of specific training on CC.

<table>
<thead>
<tr>
<th></th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
</tr>
</thead>
<tbody>
<tr>
<td>Agency (or PO) does not offer educational activities in which cultural issues are addressed nor provide specific training on CC to staff</td>
<td>Agency (or PO) offers educational activities in which cultural issues are addressed</td>
<td>In addition to (2), agency (or PO) requires all direct service/clinical staff to receive at least 3 hours of CC specific training during year</td>
<td>In addition to (3), agency (or PO) requires that administrative staff receive at least 3 hours of CC specific training during year</td>
<td>In addition to (4), agency (or PO) requires all direct service/clinical staff receive 6 hours or more of CC specific training during year</td>
<td></td>
</tr>
</tbody>
</table>

Rationale: Training and educating staff in CC enhances the likelihood of the delivery of culturally competent services in culturally competent environments. Ideally, educational activities should be available to all staff, and training should take place every year and be available to all staff at all levels in the organization. Professional educational activities, when offered, should address cultural issues since special considerations may be required for cultural groups. This should be an explicit requirement of all guest speakers and course curricula. It is most crucial that all staff members who have face-to-face contact with and provide direct clinical care to agency clients receive CC training. The 3 hours indicated must be focused on CC issues. It is crucial that administrative staff also be knowledgeable about CC issues.

Definitions: Offers: Agency either directly provides or makes available through an outside source and makes adjustments for staff to attend (time allowance and staff coverage, travel allowances and fees when needed)

Educational activities: These include continuing medical/professional education courses, grand rounds, guest lectures.

CC Training: Agency-wide coordinated activity where staff members receive practical information on features of the cultures of its service users that are expected to improve the service delivery process, including identification of disorders and varying responses to treatment protocols.

Direct service/clinical staff: Staff who provide clinical and support services (e.g., doctors, nurses, counselors, social workers, case managers).

Administrative staff: Staff who hold decision making and leadership roles but do not necessarily have direct contact with clients of the agency.

Sources of Information:
Records of staff education activities held during the year.
Attendance logs, curricula and records of staff trainings for the year.
**CULTURAL COMPETENCY ASSESSMENT SCALE**

**CRITERION 6A.**
**CC STAFF: RECRUITMENT, HIRING AND RETENTION OF STAFF FROM/ OR EXPERIENCED WITH THE MOST PREVALENT CULTURAL GROUP OF SERVICE USERS**

Agency is committed to hiring and retaining CC staff who are from or who have had experience working with the most prevalent cultural group of its service users

**CRITERION 6B.**
**CC STAFF: RECRUITMENT, HIRING AND RETENTION OF STAFF FROM/ OR EXPERIENCED WITH THE 2\textsuperscript{nd} MOST PREVALENT CULTURAL GROUP OF SERVICE USERS**

**CRITERION 6C.**
**CC STAFF: RECRUITMENT, HIRING AND RETENTION OF STAFF FROM/ OR EXPERIENCED WITH THE 3\textsuperscript{rd} MOST PREVALENT CULTURAL GROUP OF SERVICE USERS**

<table>
<thead>
<tr>
<th></th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
</tr>
</thead>
</table>
| 6A| Agency has neither hired nor has documented goals to recruit, hire and retain direct service / clinical, supervisory and administrative-level staff who are from or have had experience working with the most prevalent cultural group of its service users | Agency has a documented goal to recruit, hire and retain direct service / clinical, supervisory and administrative-level staff who are from or have had experience working with the most prevalent cultural group of its service users | Agency has hired staff members who are from or have experience working with the most prevalent cultural group of its service users at one of the following staff levels:  
  - Direct service / clinical  
  - Supervisory  
  - Administrative | Agency has hired staff members who are from or have experience working with the most prevalent cultural group of its service users at two of the levels | Agency has hired staff members who are from or have experience working with the most prevalent cultural group of its service users at all three levels |
| 6B| Agency has neither hired nor has documented goals to recruit, hire and retain direct service / clinical, supervisory and administrative-level staff who are from or have had experience working with the 2\textsuperscript{nd} most prevalent cultural group of its service users | Agency has a documented goal to recruit, hire and retain direct service / clinical, supervisory and administrative-level staff who are from or have had experience working with the 2\textsuperscript{nd} most prevalent cultural group of its service users | Agency has hired staff members who are from or have experience working with the 2\textsuperscript{nd} most prevalent cultural group of its service users at one of the following staff levels:  
  - Direct service / clinical  
  - Supervisory  
  - Administrative | Agency has hired staff members who are from or have experience working with the 2\textsuperscript{nd} most prevalent cultural group of its service users at two of the levels | Agency has hired staff members who are from or have experience working with the 2\textsuperscript{nd} most prevalent cultural group of its service users at all three levels |
| 6C| Agency has neither hired nor has documented goals to recruit, hire and retain direct service / clinical, supervisory and administrative-level staff who are from or have had experience working with the 3\textsuperscript{rd} most prevalent cultural group of its service users | Agency has a documented goal to recruit, hire and retain direct service / clinical, supervisory and administrative-level staff who are from or have had experience working with the 3\textsuperscript{rd} most prevalent cultural group of its service users | Agency has hired staff members who are from or have experience working with the 3\textsuperscript{rd} most prevalent cultural group of its service users at one of the following staff levels:  
  - Direct service / clinical  
  - Supervisory  
  - Administrative | Agency has hired staff members who are from or have experience working with the 3\textsuperscript{rd} most prevalent cultural group of its service users at two of the levels | Agency has hired staff members who are from or have experience working with the 3\textsuperscript{rd} most prevalent cultural group of its service users at all three levels |
CRITERIA 6A, 6B, & 6C continued

Rationale: Having direct service, supervisory and administrative staff with relevant experience with the most prevalent cultural groups enhances the likelihood of the acceptability and use of CC practices. Hiring and retaining professional staff members who are from the cultures of service users provides positive role models for clients of the agency and affords additional opportunities to increase knowledge about the cultures. A word of caution: It has been noted that being from a culture does not necessarily make an individual culturally competent. While persons from the culture are most likely to be knowledgeable of relevant cultural issues and their implications for service delivery to the cultural group, CC training or relevant experiences is still required.

Definitions: Goals to recruit, hire and retain: Agency has documented (written) objectives regarding the desirability of having staff who are from and/or who have previous experience working with the most prevalent cultural groups of service users From the cultural group: Individuals who self-identify as members of and participate in the cultural activities of the prevalent cultural groups served by the agency Supervisory staff: Direct service staff who are in decision-making positions and have overall responsibility for other direct service staff

Sources of Information: Mission statement; CC plan; Personnel files should indicate whether new direct care/clinical, supervisory and administrative employees are from and/or have had work experience specific to the prevalent cultures of service users

Repeat for 2nd most prevalent and 3rd most prevalent cultural groups as appropriate

Scoring Instructions: If there is only one cultural group among service users, 6B and 6C are scored “0” and skip to Criterion 7. If there are two cultural groups score 6C “0” and skip to Criterion 7.
CRITERION 7.
LANGUAGE CAPACITY: INTERPRETERS
Agency (or PO) accommodates persons who have limited English proficiency (LEP) by using interpreter services or bilingual staff

<table>
<thead>
<tr>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
</tr>
</thead>
<tbody>
<tr>
<td>Agency (or PO) does not provide interpreter services or bilingual staff for service users from prevalent cultural groups in the target community with LEP</td>
<td>Agency (or PO) provides interpreter services at point of first contact for persons from the target community with LEP</td>
<td>Agency (or PO) provides interpreter services or bilingual staff at points of direct service for the most prevalent cultural group of service users with members with LEP</td>
<td>In addition to (3), agency (or PO) provides interpreter services or bilingual staff at points of direct service for the 2nd most prevalent cultural group of service users with members with LEP</td>
<td>In addition to (4), agency (or PO) provides interpreter services or bilingual staff at points of direct service for the 3rd most prevalent cultural group of service users with members with LEP</td>
</tr>
</tbody>
</table>

Rationale:

It is critical that the language needs of persons with limited English proficiency come to the attention of the agency at the earliest possible time to ensure that the agency can schedule and provide needed services. Once a person becomes a service user, interpreters are required at direct care delivery points. Ideally interpreters are formally trained and certified or are bilingual staff members who have received CC training. Agency must be capable of responding to initial inquiries about services in as many languages as possible and at minimum the languages of the predominant cultural groups of the target community. The point of first contact is recognized as a most critical juncture in identifying persons in need of services and linking them with appropriate care. Once a person has been admitted to a program or otherwise agreed to receive the services offered by the agency, language issues must continue to be addressed. This applies to the most prevalent cultural group whose members speak a language other than English and among whom many members have LEP.

Definitions:

**English proficiency**: Level at which a person can understand English and respond in English to explain their behavioral healthcare problems, express their treatment preferences and understand the treatment plan.

**Limited English proficiency (LEP)**: A diminished level of English language skills that calls into question the person’s ability to understand and respond to issues related to their treatment.

**Interpreters**: Individuals with specific language skills and knowledge of health care terminology who are trained to communicate effectively with persons with limited proficiency with the English language.

**Interpreter services**: Methods in place to assist persons with limited English proficiency. This includes telephone interpreter services (“language lines”), interpreters obtained from a central listing maintained by agency or other source, trained volunteers from target community with identified language skills.

**Bilingual staff**: Staff members who have language capacity in both English and the specific non-English languages used by cultural groups in the target community.

**Point of first contact**: Initial telephone inquiry (switchboard operator or automated telephone menu) or first visit to agency (receptionist/intake interviewer).

**Point of direct service**: Contact after the initial intake / point of first contact where a service is intended to treat a specified disorder.

Sources of Information:
Contracts with interpreter services/interpreters, personnel records, phone company “language lines”

Scoring Instructions:
If there is only one cultural group among service users, highest score will be 3.
If there are two cultural groups among service users, highest score will be 4.
CRITERION 8.
LANGUAGE CAPACITY: BILINGUAL STAFF
Agency has staff who speak the language of the most prevalent cultural group of service users with members who have LEP

<table>
<thead>
<tr>
<th></th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Agency has neither hired nor has documented goals to recruit, hire and retain staff who speak the language of the most prevalent cultural group of service users with members who have LEP</td>
<td>Agency has a documented goal to recruit, hire and retain direct service / clinical and supervisory staff who speak the language of the most prevalent cultural group of service users with members who have LEP</td>
<td>Agency has hired one direct service / clinical staff member who speaks the language of the most prevalent cultural group of service users with members who have LEP</td>
<td>Agency has hired a second staff member who speaks the language of the most prevalent cultural group of service users who have LEP at one of the following staff levels: • Direct service / clinical • Supervisory • Administrative</td>
<td>Agency has hired a third staff member who speaks the language of the most prevalent cultural group of service users who have LEP at one of the following staff levels: • Direct service / clinical • Supervisory • Administrative</td>
</tr>
</tbody>
</table>

Rationale: Persons with limited English proficiency may not be able to communicate their mental health needs to direct service staff without appropriate interpreter services. Having knowledgeable staff members who can work directly with persons with language needs is ideal – and likely to be cost effective as well.

Definitions: Language capacity: staff: Ability to read and speak the language of a cultural group and have proficiency with terms likely to be encountered in the treatment setting (e.g., medical terms and illness concepts) and who use appropriately respectful forms of address. Goals to recruit, hire and retain: Agency has documented objectives regarding the desirability of having staff members who speak the language of the most prevalent cultural groups of service users with members who have LEP and has outlined strategies for fulfilling the objectives.

Sources of Information: Mission statement; CC Plan; Personnel files
CULTURAL COMPETENCY ASSESSMENT SCALE

CRITERION 9.
LANGUAGE CAPACITY: KEY FORMS
Agency has translated versions of key documents and forms in the language of the most prevalent cultural groups of its service users

<table>
<thead>
<tr>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
</tr>
</thead>
<tbody>
<tr>
<td>Agency does not have translated versions of key documents and forms in the language of the most prevalent cultural group of its service users</td>
<td>Agency has two of the following four key documents and forms translated into the language of the most prevalent cultural group of its service users with LEP • Consent to treat • Release of information • Medication information • Rights and grievance procedures</td>
<td>Agency has all four key documents and forms translated into the language of the most prevalent cultural group of its service users with LEP</td>
<td>Agency has two of the four key documents and forms translated into the language of the 2nd most prevalent cultural group of its service users with LEP</td>
<td>Agency has all four of the key forms translated into the language of the 2nd most prevalent cultural group of its service users with LEP</td>
</tr>
</tbody>
</table>

Rationale: Legal documents and critical care information must be understandable to persons with limited English proficiency to ensure that such information is provided equally to all service users.

Definition: Key documents and forms: These include: consent to treat (which may be incorporated in insurance documents and billing information), release of information (including HIPAA), medication information (especially instructions and dangerous side effects), and rights and grievance procedures (which are often posted in a prominent place rather than distributed).

Sources of information: Forms should be available for perusal.

Scoring Instructions: If there is only one cultural group among service users, highest score will be 3.
CRITERION 10.
LANGUAGE CAPACITY: SERVICE DESCRIPTIONS AND EDUCATIONAL MATERIALS

Agency accommodates persons with LEP by translating service descriptions and accommodates persons with limited reading skills (LRS) by providing service descriptions or educational materials in formats they can understand.

<table>
<thead>
<tr>
<th></th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
</tr>
</thead>
<tbody>
<tr>
<td>Agency does not accommodate persons with LEP or LRS</td>
<td>Agency provides service descriptions in English formats for persons with LRS</td>
<td>In addition to (2), agency provides service descriptions in language of most prevalent cultural group of target community with members with LEP</td>
<td>In addition to (3), agency provides service descriptions in language formats for persons with LRS from the most prevalent cultural group of the target community that has persons with LEP and LRS</td>
<td>In addition to (4), agency provides educational materials in English formats for persons with LRS</td>
<td></td>
</tr>
</tbody>
</table>

Rationale: Using different formats and media for descriptions of available services and for educational materials ensures that literacy level is not a barrier to accessing services. Service-related materials translated into the most prevalent non-English language will be especially helpful to overcome treatment barriers for service users and family members.

Definitions: Limited reading skills: While difficult to measure consistently, in general textual materials must be understandable to persons reading at a 6th grade level. Other formats may make material more understandable to service users and family members not fully comfortable with the English language at that level.

Formats: Print media using illustrations, diagrams, large print; video or film media with an avoidance of technical terms and jargon.

Educational materials: Books, newspapers, magazines, journals, pamphlets, posters, and videos, intended to provide up-to-date information to service users, family members and the target population about particular illnesses and treatment options.

Sources of Information:
Service description materials; educational materials
CRITERION 11.
ASSESSMENT AND ADAPTATION OF SERVICES
Agency (or PO) reviews and adapts or introduces services suitable to the most prevalent cultural groups of service users

<table>
<thead>
<tr>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
</tr>
</thead>
<tbody>
<tr>
<td>Agency (or PO) has no procedure for reviewing services nor has it adapted or introduced services suitable for the most prevalent cultural groups of service users</td>
<td>Agency (or its PO) has procedures for reviewing services for their suitability for the most prevalent cultural groups of service users</td>
<td>In addition to (2), agency (or PO) has adapted or introduced a service suitable to the most prevalent cultural group of its service users</td>
<td>In addition to (3), agency (or PO) has adapted or introduced a second service suitable to any of the prevalent cultural groups of service users</td>
<td>In addition to (4), agency (or PO) has adapted or introduced a third service suitable to any of the prevalent cultural groups of service users</td>
</tr>
</tbody>
</table>

Rationale: A comprehensive CC-based assessment of services may result in the adaptation of existing services and the introduction of new services to better serve members of the most prevalent cultural groups.

Definitions: Adapting/introducing services: Modifications related to the provision of care (variously called services, interventions, programs and practices) based on culture-specific assessments of existing services. This may include review of such issues as retention and dropout rates and consumer satisfaction. Examples: group therapy sessions with individuals with a common language or other culturally significant characteristic, focusing on issues related to a common cultural heritage (e.g. acculturation, racial discrimination). Another example, making accommodations for extended family members during the provision of a therapy session. An example of a newly introduced service could be group therapy for Latina women who view Spanish language soap operas and clinicians use the content to elicit reactions and insights relevant to mental health issues.

Suitable for cultural groups: Features of particular services that are understood by and acceptable to members of the most prevalent cultural group, and that promote adherence to programmatic guidelines and improve engagement and retention.

Second service: Can be a second service for the most prevalent cultural group or a service for another prevalent cultural group.

Third service: Can be a third service for the most prevalent cultural group or a service for another prevalent cultural group.

Sources of Information:
Organizational bylaws, minutes of meetings of QA/QI or CC committee
Service description materials
<table>
<thead>
<tr>
<th>CC CRITERION</th>
<th>SCORE (1–5)</th>
<th>COMMENTS e.g., Points of ambiguity that would change score</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. AGENCY’S COMMITMENT TO CULTURAL COMPETENCE</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. ASSESSMENT OF SERVICE NEEDS</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. CULTURAL INPUT INTO AGENCY ACTIVITIES</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. INTEGRATION OF CC COMMITTEE OR OTHER GROUP WITHIN ORGANIZATION</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5. CC STAFF: TRAINING ACTIVITIES</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6A. CC STAFF: RECRUITMENT, HIRING AND RETENTION STRATEGIES FOR MOST PREVALENT CULTURAL GROUP</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6B. CC STAFF: RECRUITMENT, HIRING AND RETENTION STRATEGIES FOR 2ND MOST PREVALENT CULTURAL GROUP</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6C. CC STAFF: RECRUITMENT, HIRING AND RETENTION STRATEGIES FOR 3RD MOST PREVALENT CULTURAL GROUP</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7. LANGUAGE CAPACITY: INTERPRETERS</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8. LANGUAGE CAPACITY: BILINGUAL STAFF</td>
<td></td>
<td></td>
</tr>
<tr>
<td>9. LANGUAGE CAPACITY: KEY FORMS</td>
<td></td>
<td></td>
</tr>
<tr>
<td>10. LANGUAGE CAPACITY: SERVICE DESCRIPTIONS AND EDUCATIONAL MATERIALS</td>
<td></td>
<td></td>
</tr>
<tr>
<td>11. ASSESSMENT AND ADAPTATION OF SERVICES</td>
<td></td>
<td></td>
</tr>
<tr>
<td>TOTAL SCORE</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>